Morphovolumetric changes after EMDR treatment in drug-naïve PTSD patients

Modifiche morfo-volumetriche dopo trattamento EMDR in pazienti drug-naïve affetti da DPTS

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SUMMARY. Introduction. Few studies have investigated the effects of efficacious psychotherapy on structural alterations of discrete brain regions associated with posttraumatic stress disorder (PTSD). We therefore proposed to evaluate the neurobiological effects of eye movement desensitization and reprocessing (EMDR) on 19 patients with drug-naïve PTSD without comorbidity, matched with 19 untreated healthy controls.

Methods. We administered the Clinician Administered PTSD Scale (CAPS) and conducted brain MRI measurements (with Optimized Voxel-Based Morphometry). Patients received 12 EMDR sessions over three months. Then patients and controls were reassessed.

Results. At baseline, grey matter volume (GMV) differed significantly between patients and controls (F 1,35 =3.674; p=.008; η²=.298). Analyses of 3-month scans showed no changes for controls, while significant changes were highlighted for patients post-EMDR, with a significant increase in GMV in left parahippocampal gyrus, and a significant decrease in GMV in the left thalamus region. The diagnosis of PTSD was effectively eliminated in 16 of 19 patients, reflected in a significant improvement on the CAPS (t(35)=2.132, p<.004).

Discussion and conclusions. Results indicated post-EMDR changes for patients in brain morphology. We discuss whether EMDR’s mechanism of action may work at the level of the thalamus, an area implicated in PTSD pathology.

KEY WORDS: PTSD, EMDR, morphovolumetric.

RIASSUNTO. Introduzione. Esistono pochi studi che hanno indagato gli effetti di una psicoterapia ritenuta efficace sulle alterazioni strutturali delle regioni cerebrali associate al disturbo da stress post-traumatico (PTSD). Ci siamo, pertanto, proposti di valutare gli effetti neurobiologici della terapia EMDR su un gruppo di 19 pazienti con PTSD, senza cura farmacologica e senza alcuna comorbidità con altri disturbi psichiatrici, a confronto con un gruppo di controllo costituito da 19 soggetti sani non trattati. Metodi. Abbiamo somministrato la CAPS e sottoposto ciascun soggetto a misurazioni MRI del cervello (condotte con Optimized Voxel-Based Morphometry). I pazienti hanno ricevuto 12 sedute di EMDR nell’arco di tre mesi. Sia i pazienti che i controlli sono stati successivamente rivalutati al termine della terapia. Risultati. Alla misurazione baseline, il volume della materia grigia (GMV) differiva significativamente tra pazienti e controlli (F 1,35=3.674; p=.008; η²=0,298). Le analisi delle scansioni ottenute a 3 mesi non hanno mostrato variazioni per i controlli, mentre hanno messo in evidenza cambiamenti significativi per pazienti che sono stati sottoposti a terapia EMDR, con un aumento significativo della GMV nel giro parahippocampale sinistro, e una diminuzione significativa della GMV nella regione del talamo sinistro. A seguito del trattamento EMDR 16 pazienti su 19 non soddisfacevano più criteri per una diagnosi di PTSD, dato che si riflette in un miglioramento significativo ottenuto alle CAPS (t15=2.132, p<.004). Discussione e conclusioni. I risultati hanno indicato cambiamenti nella morfologia del cervello per i pazienti sottoposti a terapia EMDR. Nell’articolo verrà discusso il meccanismo di azione del trattamento EMDR, con l’obiettivo di comprendere se esso possa agire a livello del talamo, un’area implicata nel PTSD.

KEY WORDS: DPTS, EMDR, morfo-volumetria.

INTRODUCTION

Eye Movement Desensitization and Reprocessing (EMDR) is a psychotherapy that has been found to effectively re-
solve the effects of traumatic experiences¹ and following numer-
ous randomized clinical trials² in patients with post-trau-
matic stress disorder (PTSD) it has been recognized as a first-line treatment for PTSD³.

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PTSD is characterized by dysfunction and structural alteration of several discrete brain regions. Neurobiological investigations of PTSD have shown that it may be characterized by lower density in limbic and paralimbic cortices, with changes in gray and white matter volume and concentration (GMV and GMC, respectively) in hippocampus, parahippocampal gyrus and cingulum. However possibly due to the high heterogeneity of traumatic events causing PTSD and of patients symptoms (i.e. hyperarousal vs dissociation) as well as of cohort sizes a surprisingly large variance across studies has been reported.

Most Magnetic Resonance Imaging (MRI) studies on PTSD have measured volumetric changes in discrete brain regions or small brain structures. Karl et al. in a meta-analysis of structural brain MRI in PTSD concluded that the disorder is associated with abnormalities in multiple frontal-limbic system structures, notably in hippocampus, amygdala, and anterior cingulate cortex. Similarly, a recent meta-analysis by Woon et al on 39 hippocampal volumetric studies identified significant hippocampal volume reduction in individuals with PTSD.

Furthermore, investigating the changes in GMC in patients with and without PTSD, Zhang et al. found those with PTSD showing significantly decreased GMC in left anterior hippocampus and left parahippocampal gyrus and Nardo et al. showed a lower grey matter density in limbic and paralimbic cortices to be associated with PTSD diagnosis.

Studies investigating the effect of Cognitive behavioural therapy (CBT) on hippocampal volume in PTSD patients have reported conflicting results. Recently functional studies have reported EMDR-related neurobiological changes and our group has investigated the structural changes after successful treatment of PTSD with EMDR showing an average increase of 6% in hippocampal volume following remission of diagnosis after three months of EMDR therapy.

The aim of the present study was to extend such investigation beyond the regional assessment computing in PTSD patients and healthy controls a voxel-wise analysis on the whole brain assessing the anatomical changes occurring following EMDR therapy.

**METHOD**

**Participants**

Thirty-eight participants were studied: 19 drug-naïve patients with PTSD (10 men and 9 female) and 19 age matched healthy controls (15 men and 4 women). The patient group was recruited at the Center for the Diagnosis and Treatment of Post-Traumatic Stress Disorder, Department of Psychiatry, University of Siena, between September 2010 to May 2012 and largely overlapped the cohort recruited for a previous study. Patient inclusion criteria were: age between 18 and 65 years and the drug-naïve status. Exclusion criteria were: a history of current and/or lifetime comorbid psychiatric diagnoses as determined by the SCID; previous or current use of any psychotropic medications; history of head trauma; presence of neurological, endocrine, or degenerative disorders. Healthy controls were recruited at the hospital “Le Scotte” in Siena, Italy, and matched for age, education, handedness, weight and height. Exclusion criteria for controls were: a history of meningitis, traumatic brain injury, presence of neurological, en-
tactile (therapist tapping the patient’s hand). Tactile taps were alternated to eye movements during some of the sessions only if the patient could not concentrate during eye movements or complained about not being able to follow the fingers. All sessions were videotaped; the fidelity to the treatment protocol was assessed by an independent evaluator, a psychologist and licensed psychotherapist, who was an EMDR European-approved consultant and supervisor and not involved in the present study.

Neuroradiological acquisition

MRI examinations of all participants were performed at a 1.5 Tesla Philips Intera scanner (Philips Medical Systems, Best, The Netherlands). Morphovolumetric analysis were run onto T1-weighted Fast Field Echo (FFE) 1-mm thick images of the entire brain (TR/TE=30.00/4.6 ms, flip angle=30.00, FOV=250 mm, matrix 256x256, slice number=40) parallel to the anterior and posterior commissures. Neuroradiological examination also included FFE 1-mm thick coronal images (TR=30.00/4.6 ms, flip angle=30.00, FOV=250 mm, matrix 512x512, slice number=75), T2-weighted Turbo Fluid Attenuated Inversion Recovery (FLAIR) 3-mm-thick axial images (TR/TE=9000/110ms, IR delay=2500ms, FOV=230mm, matrix 512x512, slice number=40).

Optimized voxel-based morphometry

An optimized voxel-based morphometry (VBM) protocol was performed (i) at baseline (Time 1), to determine any abnormality of grey matter concentration (GMC) and volume (GMV) in patients compared to healthy participants, and (ii) at post-EMDR (Time 2) to evaluate longitudinal changes in regional brain volumes of patients. For image pre-processing we used the freely available SPM8 software package (Statistical Parametric Mapping software: http://www.fil.ion.ucl.ac.uk/spm/) implemented in Matlab 7.11 (Math-Works Inc., Sherborn, MA). Pre-processing included “unified” segmentation and spatial registration as implemented in the tool “new segment” followed by diffeomorphic registration of the grey and white matter probability maps derived from the previous step and affine-only registration to the standardized Montreal Neurological Institute - MNI space (ICBM 152, Montreal Neurological Institute standard T1-weighted template). Hidden Markov Random Field model was applied in all segmentation processes in order to remove isolated voxels. The customized prior images and T1-weighted template were smoothed using an 8 mm Full-Width at Half-Maximum Isotropic Gaussian Kernel (FWHM IGK). Modulated gray matter images were smoothed using an 8-mm FWHM IGK for grey matter volume analysis, unmodulated gray matter images were smoothed using a 12 mm FWHM IGK for GMC analysis. Differently from cross-sectional analysis, where data images can be processed independently for each participant, for treatment effect evaluation we adopted special analysis parameters. Each participant image was registered to mean baseline image and spatial normalization process was performed only for the baseline image and then applied to all images.

Statistical analysis

Cross-sectional comparisons between PTSD and control groups at baseline

One way Analysis of CoVariance (ANCOVA) models as implemented in SPM8 were applied. We compared GMV changes across groups covarying for age, gender and Total Intracranial Volume (sum of gray and white matter tissues maps, TIV). GMC group differences were assessed using age and gender as covariates. Multiple comparison corrections were performed using MonteCarlo simulation (corrected p<0.05), taking into account both the individual voxel probability threshold and voxel cluster size in order to establish the probability of false-positive detection (cluster connection radius 4 mm, individual voxel threshold p<0.01, iterations=1000, FWHM=8 mm, inclusive masks obtained by averaging participants grey matter tissue maps). All results were reported using MNI coordinate system. Anatomical localization of significant clusters was performed using ANATOMY toolbox for SPM8 (http://www.fz-juelich.de/inm/inm-/DE/Forschung/docs/SPMAnatomyToolbox).

Longitudinal evaluation of brain morphology changes

We compared the T1 and T2 images of patients and controls, for both GMV and GMC applying the same statistical thresholds. All analyses were calculated using MNI coordinate system. Significant clusters anatomical mapping was performed using ANATOMY toolbox for SPM8.

RESULTS

Patients and controls did not statistically differ for demographic data, as reported in Table 1. Patients’ diagnoses of PTSD at baseline (T1) were confirmed by clinical evaluation and by the fulfillment of all the criteria at CAPS. All patients had experienced a one-time adult trauma: natural disaster (n=3), sudden death of a family member (n=5), car accident (n=2), assault/robbery (n=6), and terrorist attack (n=4). One patient dropped out because of a depressive episode onset and consequently we removed a matched healthy control participant.

Baseline comparisons between patients and controls: Grey Matter Volume

The GMV comparison between patients and healthy participants at baseline showed significant differences (F 1,35=3.674; p=.008; η²=.298). Analyses revealed a region of significantly decreased GMV in patients’ left parahippocam-
pal region, supplementary motor area, lingual gyrus, and both left and right superior frontal gyrus. Patients with PTSD also showed a significant increase in GMV corresponding to right angular gyrus, inferior parietal lobule and left inferior temporal gyrus. MNI coordinates of each significant cluster, F-values and clusters dimension are reported in Table 2.

<table>
<thead>
<tr>
<th>Table 2. Significant GMV Differences at Baseline between patients with PTSD and healthy controls.</th>
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<tbody>
<tr>
<td><strong>Voxels</strong></td>
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<tr>
<td><strong>Patients &gt; Healthy controls at baseline</strong></td>
</tr>
<tr>
<td><strong>cluster 1</strong></td>
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<tr>
<td>Right angular gyrus</td>
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<tr>
<td><strong>cluster 2</strong></td>
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<tr>
<td>Left inferior temporal gyrus</td>
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<tr>
<td>Right inferior Parietal lobule</td>
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<tr>
<td><strong>Patients &lt; Healthy controls at baseline</strong></td>
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<tr>
<td><strong>Cluster 1</strong></td>
</tr>
<tr>
<td>Left parahippocampal gyrus</td>
</tr>
<tr>
<td><strong>Cluster 2</strong></td>
</tr>
<tr>
<td>Left supplemental motor area (SMA)</td>
</tr>
<tr>
<td><strong>Cluster 3</strong></td>
</tr>
<tr>
<td>Right superior frontal gyrus</td>
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<tr>
<td><strong>Cluster 4</strong></td>
</tr>
<tr>
<td>Left lingual gyrus</td>
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<tr>
<td><strong>Cluster 5</strong></td>
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<tr>
<td>Left superior frontal gyrus</td>
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*Patients=19; Healthy controls = 18.

The GMC comparison between patients and healthy participants at baseline did not show any significant differences (F 1,35=0.984; p=.332).

The GMC comparison between patients and controls: **Grey Matter Concentration**

During the baseline assessment, patients showed a moderate to severe PTSD symptom severity, as highlighted by the DTS values: DTS total score was 99 +/- 9 with mean scores for each subscale of Intrusion 32 +/- 9, Avoidance 40 +/- 14 and Hypervigilance 27 +/- 9. At pre-treatment, the mean CAPS total score was 75.8 (+/- 21.8), with mean score for re-experiencing subscale of 17.0 +/- 8, avoidance 20.5 +/- 9.0, and hyperarousal 18.5 +/- 9.8. After 12 sessions of EMDR (Time 2), there was a significant pre-post decrease on the mean CAPS total score (19.3 +/- 15.5) (t (35)=2.132, p<.004) and hyperarousal subscale (4.1 +/- 9.8; p<.001) (t (35)=1.347, p<.008), and a non-significant trend to decrease on the re-experiencing (6.8 +/- 8.0) and avoidance (9.8 +/- 9.0) subscales. All 19 patients completed EMDR therapy and reported improvements in their PTSD symptoms, with 16 patients no longer satisfying necessary criteria for PTSD diagnosis.

**Longitudinal comparisons between patients and controls: Grey Matter Concentration**

Group-time interactions for GMV maps were significant (F (1,35)=4.324; p=.006; η²=.398), indicating a larger increase in GMV in patients as compared to healthy controls, specifically for left parahippocampal gyrus (F (1, 35)=11.237; p=.001, MNI x=-24, y=-21, z=-29; voxels=246), where patients showed a significantly smaller GMV compared to controls before the EMDR treatment (Figure 1). Additionally, in comparison to healthy controls, a cluster of decreased GMV was found in patients’ left thalamus region after EMDR treatment (F (1, 35)=9.432; p=.002, MNI x=-9, y=-24, z=6; voxels=168) (Figure 2). No differences between first and second MRI acquisition were highlighted for healthy control participants (F (1,35)=0.346; p=.389).

**DISCUSSION**

In this study brain MRI measurements with Optimized Voxel-Based Morphometry was used to investigate the neurobiological effects of EMDR treatment in drug-naïve PTSD without comorbidity. Consistent with other volumetric findings26,27, when we compared patients with PTSD to healthy...
controls at baseline, we found significantly smaller GMV in the patients’ parahippocampal, parietal and frontal regions, and significantly larger GMV in temporal and parietal areas (Table 2) all regions involved in processing and storing mechanism of traumatic events27. Furthermore, after treatment completion comparisons with baseline showed in patients a significant increase in GMV in left parahippocampal gyrus and a significant GMV decrease in left thalamus. The implementation of VBM has allowed to extend the structural analysis to the entire brain overcoming the limitation of our previous investigations restricting the assessment of the effect of EMDR to the hippocampal region. Structural evaluation provides understanding of a disorder’s neurobiological substrate and allows to anatomically identify and measure changes which have clinical implications. Although to date we are still far from matching symptoms and single alterations, several works investigating PTSD suggested that many symptoms and/or psychopathological characterizations appear to be closely related to some specific neurobiological alterations. In the present study hippocampus, the main site for short-term memory processing, was found at baseline significantly smaller than in healthy controls and its volume increased following successful EMDR therapy. Hippocampus is involved in encoding, consolidating and retrieving declarative memories28,29 and receives extensive inputs from several regions of the neocortex30,31. Hippocampal dysfunction has been claimed to play a key role in the memory disturbances considered to be the core component in PTSD5-7 and it is known by long that PTSD is associated with abnormalities in activity and volume of the hippocampus32, as is it true in the symptomatic phase for our patients. It has been speculated that in PTSD emotional information is retained in amygdala and hippocampus and this pathological condition might be related to hippocampal volume reduction, possibly due to the effect of chronic release of cortisol, affecting specifically this brain region. Moreover, a failure in the func-

Figure 1. Significant increased GMV post-EMDR in patients’ left parahippocampal gyrus. Panel A shows coronal and axial views of increased grey matter volume in left hippocampus area of patients with PTSD (p<0.001 uncorrected; p<0.05 using MonteCarlo correction for multiple comparisons).

Figure 2. Significant decreased GMV post-EMDR in patients’ bilateral thalamus regions. Panel A shows coronal and axial views of decreased grey matter volume in left thalamus of PTSD patients.
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One limitations of this study is the small sample size possibly overestimating the number of foci showing significant differences. On the other hand the relative high costs of the methodology, makes the recruitment of an inadequate number of subjects to be investigated a common limitation in neuroimaging studies. For this reason in our study as in other ones in the past patients recruitment and characterization suffer of the presence of different trauma types and of discrepancies about the number of previous traumas, both issues potentially biasing the results. We also acknowledge that the recruitment of PTSD patients without comorbidity and of non-traumatized control subjects might render the results of the present investigation not directly comparable to other studies in the same field. However, the with-in subject analysis strengthened, along with the objective decrease of PTSD clinical scores, the reliability of the pre- to post-therapy changes and in the most of the control subjects mix lifetime traumas, even if not causing symptoms have certainly happened. Furthermore, the absence of follow-up to evaluate the maintenance of symptomatic improvement and the volumetric changes does not allow to draw conclusion on the long-term effectiveness of EMDR therapy. Future research might benefit of optimized voxel based morphometry and by the use of diffusion weighted images acquisition aimed at white matter fiber tracts changes detection, to examine the possible impact of psychotherapies on brain structural connectivity.

Acknowledgements: Louise Maxfield, Ph.D. professionally edited this paper. She is a Psychologist in London Ontario Canada, affiliated with London Health Sciences Centre, the Departments of Psy.

LIMITATIONS AND RECOMMENDATIONS

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